

MODULES OVER COPRODUCTS OF RINGS

BY

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ABSTRACT. Let R_0 be a skew field, or more generally, a finite product of full matrix rings over skew fields. Let $(R_\lambda)_{\lambda \in \Lambda}$ be a family of faithful R_0 -rings (associative unitary rings containing R_0) and let R denote the coproduct ("free product") of the R_λ as R_0 -rings. An easy way to obtain an R -module M is to choose for each $\lambda \in \Lambda \cup \{0\}$ an R_λ -module M_λ , and put $M = \bigoplus M_\lambda \otimes_{R_\lambda} R$. Such an M will be called a "standard" R -module. (Note that these include the free R -modules.)

We obtain results on the structure of standard R -modules and homomorphisms between them, and hence on the homological properties of R . In particular:

(1) Every submodule of a standard module is isomorphic to a standard module.

(2) If M and N are standard modules, we obtain simple criteria, in terms of the original modules M_λ, N_λ , for N to be a homomorphic image of M , respectively isomorphic to a direct summand of M , respectively isomorphic to M .

(3) We find that $\text{r gl dim } R = \sup_{\lambda} (\text{r gl dim } R_\lambda)$ if this is > 0 , and is 0 or 1 in the remaining case.

In §2 below we shall state our main results, Theorems 2.2 and 2.3, and derive a large number of consequences. In §§3–8 we prove these theorems, assuming, to avoid distractions, that R_0 is a skew field. Afterward (§§9, 10) we indicate how to adapt the proofs to the case of R_0 a finite direct product of full matrix rings over skew fields, examine some simple examples (§12), and discuss possible generalizations of our results (§§11, 13–15).

Some important applications of these results will be made in [3].

The idea of our proofs goes back to P. M. Cohn's work [8], [9], [14]. I

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1. **Definitions, conventions, and some basic tools.** All rings will be associative and have 1, all homomorphisms and modules will be assumed unital, and module will mean right module unless the contrary is stated. Sfield means skew field (division ring).

Given a ring R_0 , an R_0 -ring means a ring R given with a homomorphism $R_0 \rightarrow R$. An R_0 -ring is called faithful if the given homomorphism is an embedding. The R_0 -rings form a category in which the maps are the ring homomorphisms that form commutative triangles with the given maps from R_0 .

Throughout what follows, R_0 will be a fixed ring, and $(R_\lambda)_{\lambda \in \Lambda}$ a family of R_0 -rings. We shall denote by R the coproduct of the R_λ in the category of R_0 -rings.⁽²⁾

We shall generally use the letter λ for an index in Λ , and μ for an index in $\Lambda \cup \{0\}$; thus R_μ means one of the R_λ , or R_0 .

By a *standard* R -module, we shall mean an R -module of the form $N = \bigoplus_{\mu \in \Lambda \cup \{0\}} N_\mu \otimes_{R_\mu} R$, where each N_μ is an R_μ -module. (This definition could, of course, be made over any ring R given with a family of maps $R_\mu \rightarrow R$.) This N has the universal property that given any R -module P , and given for each μ an R_μ -module homomorphism $g_\mu: N_\mu \rightarrow P$, there will exist a unique extension g :

$$\begin{array}{ccc}
 N_\mu & \xrightarrow{\quad \dots \quad} & N = \bigoplus N_\mu \otimes R \\
 \vdots & \searrow \quad \quad \quad \downarrow g & \vdots \\
 & \xrightarrow{\quad \dots \quad} & P
 \end{array}$$

We shall generally abbreviate \otimes_{R_μ} to \otimes_μ or simply \otimes , as above.

⁽²⁾ Equivalently: The fibered coproduct of the R_λ over R_0 , or the pushout or colimit of the maps $R_0 \rightarrow R_\lambda$ in the category of rings. This exists by general results of universal algebra [13, Theorem III.6.1].

R is also frequently termed “the free product of the R_λ over R_0 ” (or “with amalgamation of R_0 ”) if the R_λ are all faithful R_0 -rings, are embedded monomorphically in R , and are disjoint in R except for the common image of R_0 . When these conditions hold one says (in this usage) that “the free product R of the R_λ ’s exists”. I suggested in [4, §7] the alternative statement for the last two of these conditions, “the coproduct R of the R_λ ’s is faithful (over each R_λ) and separating”.

The term “free product” was adopted from group theory, where coproducts are always faithful and separating. But it goes against modern categorical usage, and we shall speak here of coproducts.

Under the hypotheses we shall introduce in the next section, we shall find that the canonical maps $R_\mu \rightarrow R$ and $N_\mu \rightarrow N$ (N as above) are injections (Proposition 2.1). Hence we may identify R_μ and N_μ with their images in R and N . In particular, a standard module can be thought of as an R -module N with a *distinguished family* of R_μ -submodules N_μ , having the above mapping property; i.e., generating N “freely”.

When we speak of a homomorphism (isomorphism, etc.) of standard R -modules, we shall simply mean a homomorphism (etc.) *as R -modules*. But there are certain special classes of homomorphisms that we shall define in terms of the standard-module structure.

A homomorphism $f: \bigoplus M_\mu \otimes R \rightarrow \bigoplus N_\mu \otimes R$ will be called *induced* if it arises from a family of homomorphisms $f_\mu: M_\mu \rightarrow N_\mu$. Under the injectiveness conditions mentioned above, we see that f is induced if and only if for each μ it carries $M_\mu \subseteq M$ into $N_\mu \subseteq N$.

Another type of map arises from the fact that a *free* R -module can be written as a standard module in more than one natural way. Suppose we have a standard module $\bigoplus M_\mu \otimes R$, and for some μ_1 , a decomposition $M_{\mu_1} \cong M'_{\mu_1} \oplus R_{\mu_1}$. Then $M_{\mu_1} \otimes R \cong (M'_{\mu_1} \otimes R) \oplus R$. Hence if for some $\mu_2 \neq \mu_1$, we set $M'_{\mu_2} = M_{\mu_2} \oplus R_{\mu_2}$, and for all $\mu \neq \mu_1, \mu_2$, write $M'_\mu = M_\mu$, we get a natural isomorphism: $\bigoplus M_\mu \otimes R \cong \bigoplus M'_\mu \otimes R$. We shall call an isomorphism of this sort a “free transfer isomorphism”. From the point of view that a standard module is an R -module M with a certain type of generating family of R_μ -submodules, a free transfer isomorphism can be thought of as leaving the module M unchanged, but removing from one M_{μ_1} a free summand xR_{μ_1} ($x \in M$) and attaching xR_{μ_2} to another M_{μ_2} .

Actually, the free transfer maps will be satisfactory for our purposes only when R_0 is a sfield, so that all R_0 -modules are free. More generally, let us define a *basic* R_μ -module as one of the form $A \otimes_0 R_\mu$, where A is a module over our *base-ring* R_0 . Clearly, the above discussion of free-transfer isomorphisms extends to “basic-transfer” isomorphisms, which remove from some M_{μ_1} a direct summand $A \otimes_0 R_{\mu_1}$ and transfer it to some M_{μ_2} as $A \otimes_0 R_{\mu_2}$. Note also that when R_0 is a finite direct product of full matrix rings over sfields, all R_0 -modules are projective, hence all basic R_μ -modules are projective over R_μ .

Finally, there is a certain type of automorphism of a standard module $M = \bigoplus M_\mu \otimes R$ that we shall need. Suppose that for some μ_1 , we have an R_{μ_1} -linear functional $e: M_{\mu_1} \rightarrow R_{\mu_1}$, and let us extend it to an R -linear functional $\epsilon: M \rightarrow R$ so as to annihilate all M_μ with $\mu \neq \mu_1$. Suppose a is any element of R , and $\delta: R \rightarrow R$ the map left-multiplication by a .

Finally, for some μ_2 , let $x \in M_{\mu_2}$, and let $\gamma: R \rightarrow M$ take 1 to $x \in M_{\mu_2} \otimes R \subseteq M$.

Note that if $\mu_2 \neq \mu_1$, $\epsilon\gamma = 0$. If $\mu_1 = \mu_2$, let us insure this by adding the condition that x lie in the kernel of e . Since $\epsilon\gamma$ is now zero (in either case), the map $\gamma\delta\epsilon: M \rightarrow M$ will be nilpotent. Hence $\theta = \text{id}_M - \gamma\delta\epsilon$ is an automorphism of M . We shall call such a θ a *transvection*.

2. **Statements of the main theorems, and consequences.** Throughout this section the base-ring R_0 will be assumed to be a *finite direct product of full matrix rings over sfields*; that is, a ring of global dimension zero, and the R_λ will all be assumed faithful R_0 -rings. R will denote their coproduct, as above.

An elementary result we shall obtain in §4 is:

PROPOSITION 2.1. *If $M = \bigoplus M_\mu \otimes R$ is a standard R -module, then for each μ , (1) the canonical map $M_\mu \rightarrow M$ is an embedding, and (2) as an R_μ -module, M is the direct sum of the image of this map and a basic (hence projective) R_μ -module.*

In particular, each R_μ embeds in $R = R_\mu \otimes R$, and R is projective as a right (and hence by symmetry of our hypothesis, as a left) R_μ -module. \square

Hence we shall make the natural identifications $R_\mu \subseteq R$, $M_\mu \subseteq M$. We now state our main results:

THEOREM 2.2. *Any submodule of a standard R -module can be given a structure of standard R -module. \square*

THEOREM 2.3. *Let $f: M \rightarrow N$ be a surjective homomorphism of finitely generated standard R -modules, $M = \bigoplus M_\mu \otimes R$, $N = \bigoplus N_\mu \otimes R$. Then there exists an isomorphism of standard R -modules $\alpha: M' \cong M$, which is a finite composition of basic-transfer isomorphisms and transvections, such that $f\alpha: M' \rightarrow N$ is an induced homomorphism (i.e., $f\alpha(M'_\mu) = N_\mu$ for all μ). \square*

Or, to put the conclusion of Theorem 2.3 another way, keeping the same M , one can modify its structure of standard module (distinguished family of R_μ -submodules) by a finite sequence of basic transfers, and transvection automorphisms of M , so that under the new structure f is an induced homomorphism.

(In §11 we shall see that the class of finitely generated modules can be replaced, in Theorem 2.3 and hence in some of the Corollaries, by a wider class.)

Proposition 2.1 gives us:

COROLLARY 2.4. *If $M = \bigoplus M_\mu \otimes R$, then $\text{hd}_R M = \sup_\mu (\text{hd}_{R_\mu} M_\mu)$.*

PROOF. Clearly, this reduces to showing, for each μ , that $\text{hd}_R M_\mu \otimes R = \text{hd}_{R_\mu} M_\mu$. Because R is left-flat over R_μ , $-\otimes_\mu R$ takes projective resolutions over R_μ to projective resolutions over R , and we conclude that $\text{hd}_R M_\mu \otimes R \leq \text{hd}_{R_\mu} M_\mu$. On the other hand, because R is right projective over R_μ , restriction of scalars from R to R_μ preserves projectives, and hence projective resolutions, so $\text{hd}_R M_\mu \otimes R \geq \text{hd}_{R_\mu} M_\mu \otimes R$. But $M_\mu \otimes R$ has M_μ as a direct summand over R_μ , so $\text{hd}_{R_\mu} M_\mu \otimes R \geq \text{hd}_{R_\mu} M_\mu$, giving the desired inequality. \square

Bringing in Theorem 2.2, we can deduce:

COROLLARY 2.5. $r \text{ gl dim } R = \sup(r \text{ gl dim } R_\mu)$ if this is positive, or is ≤ 1 if all R_μ have $r \text{ gl dim } = 0$.

PROOF. From the preceding Corollary, $r \text{ gl dim } R \geq \sup_\mu (r \text{ gl dim } R_\mu)$. Now let M be a submodule of a free right R -module F . Then by Theorem 2.2 we can write $M = \bigoplus M_\mu \otimes R$. Since each M_μ is a sub- R_μ -module of F , which is projective as an R_μ -module, we get $\text{hd}_{R_\mu} M_\mu \leq (r \text{ gl dim } R_\mu) - 1$, or $= 0$ if this is negative. Hence by Corollary 2.4, $\text{hd}_R M \leq \sup(r \text{ gl dim } R_\mu) - 1$, or $= 0$ if this is negative, from which the result claimed follows. \square

(For some further observations on this formula, see §13.)

It is easy to see that the analogs of Corollaries 2.4 and 2.5 can be proved equally easily with homological and global dimensions replaced by weak dimensions, or indeed, dimensions with respect to resolutions by any class of module preserved by the types of change-of-base operations used.

Corollary 2.4 and Theorem 2.2 immediately give,

COROLLARY 2.6. Every projective R -module has the form $\bigoplus M_\mu \otimes R$, where each M_μ is a projective R_μ -module. \square

(But it is generally easy to find flat R -modules that are not standard.)

COROLLARY 2.7. If $M = \bigoplus M_\mu \otimes R$ and $N = \bigoplus N_\mu \otimes R$ are standard R -modules, and for all μ , $\text{Hom}_{R_\mu}(M_\mu, R_\mu) = \{0\}$ (equivalently: $\text{Hom}_R(M, R) = \{0\}$), then every R -module homomorphism $f: M \rightarrow N$ is an induced homomorphism; thus the natural injection $\prod_\mu \text{Hom}_R(M_\mu, N_\mu) \rightarrow \text{Hom}_R(M, N)$ is a bijection.

PROOF. If $f \in \text{Hom}(M, N)$, then the image of each M_μ under f must have zero component in the R_μ -projective part of N , hence must lie entirely in N_μ . \square

Something we would like to know is whether every extension of a standard module by a standard module is standard!

The preceding results show that the standard modules play a large role in

the module-theory of R . We now turn to Theorem 2.3 for more exact information about the category of such modules. For any ring A , let $Mod A$ denote the category of finitely generated (right) A -modules, and let $S_{\oplus}(Mod A)$ denote the additive semigroup of isomorphism-classes, $[M]$, of objects of $Mod A$, under the operation induced by direct sums: $[M] + [N] = [M \oplus N]$. Let $Std R \subseteq Mod R$ denote the full subcategory of finitely generated R -modules which can be given structures of standard modules, and $S_{\oplus}(Std R) \subseteq S_{\oplus}(Mod R)$ the corresponding subsemigroup. For each μ , let $q_{\mu}: S_{\oplus}(Mod R_0) \rightarrow S_{\oplus}(Mod R_{\mu})$, and $p_{\mu}: S_{\oplus}(Mod R_{\mu}) \rightarrow S_{\oplus}(Std R)$ be the semigroup homomorphisms induced by the operations $-\otimes_0 R_{\mu}$ and $-\otimes_{\mu} R$.

$$(1) \quad \begin{array}{ccccc} & & q_{\mu} & \longrightarrow & S_{\oplus}(Mod R_{\mu}) & & p_{\mu} & \longrightarrow & S_{\oplus}(Std R) \subseteq S_{\oplus}(Mod R) \\ & & \searrow & & \vdots & & \searrow & & \vdots \\ S_{\oplus}(Mod R_0) & & \longrightarrow & & \vdots & & \longrightarrow & & \vdots \\ & & \searrow & & \vdots & & \searrow & & \vdots \end{array}$$

Let us first consider Theorem 2.3 in the case where f is an isomorphism. It provides, in effect, a criterion for two finitely generated standard modules to be isomorphic, namely if and only if one can go from the representations of one to that of the other by a series of basic transfers. We formalize this statement (and give the proof in detail) as:

COROLLARY 2.8. *With respect to the maps p_{μ} and q_{μ} , the semigroup $S_{\oplus}(Std R)$ is the fibered sum (pushout) over $S_{\oplus}(Mod R_0)$ of the semigroups $S_{\oplus}(Mod R_{\mu})$.*

PROOF. Clearly, the diagram (1) commutes.

Now the fibered coproduct of semigroups in question can be described as the quotient of the direct sum (simple coproduct), $\bigoplus_{\mu} S_{\oplus}(Mod R_{\mu})$, by the congruence relation \sim generated by all relations $q_{\mu}(a) \sim q_{\mu'}(a)$ ($a \in S_{\oplus}(Mod R_0)$; $\mu, \mu' \in \Lambda \cup \{0\}$). Hence what we must show is that if two elements $m = \Sigma [M_{\mu}]$ and $n = \Sigma [N_{\mu}]$ of $\bigoplus S_{\oplus}(Mod R_{\mu})$ have the same image in $S_{\oplus}(Std R)$:

$$\sum p_{\mu} [M_{\mu}] = \sum p_{\mu} [N_{\mu}],$$

in other words, if $\bigoplus M_{\mu} \otimes R \cong \bigoplus N_{\mu} \otimes R$, then these elements m and n are congruent under \sim . But indeed, given an isomorphism $f: \bigoplus M_{\mu} \otimes R \rightarrow \bigoplus N_{\mu} \otimes R$, Theorem 2.3 tells us that by applying to M a sequence of transvections and basic transfer isomorphisms, we can get an induced isomorphism, which corresponds to equality in $\bigoplus (S_{\oplus}(Mod R_{\mu}))$. Now a transvection does not change the element of $\bigoplus (S_{\oplus}(Mod R_{\mu}))$ associated with a standard module,

while a basic transfer corresponds to a change of the form $p + q_\mu(a) \mapsto p + q_{\mu'}(a)$. Hence m and n can be connected by a finite chain of such changes. I.e., $m \sim n$, as desired. \square

To use the general case of Theorem 2.3, let us define a preordering on each of the semigroups $S_\oplus(\text{Mod } R_\mu)$ and $S_\oplus(\text{Std } R)$ by writing $[M] \leq [N]$ if N is a homorphic image of M . Then the theorem easily yields:

COROLLARY 2.9. *The preordering \leq on $S_\oplus(\text{Std } R)$ is induced by the corresponding preorderings on the semigroups $S_\oplus(\text{Mod } R_\mu)$. In fact, if $n = \sum p_\mu(n_\mu) \leq m \in S_\oplus(\text{Std } R)$, then m can be written $\sum p_\mu(m_\mu)$ such that for all μ , $n_\mu \leq m_\mu$ in $S_\oplus(\text{Mod } R_\mu)$. \square*

For certain applications, e.g., in [3], one wants to restrict one's attention to special classes of modules. Let us define an R_0 -stable class of R_μ -modules (respectively, of finitely generated R_μ -modules) to be a possibly empty class C_μ of R_μ -modules, closed under isomorphisms ($M \cong M' \in C_\mu \Rightarrow M \in C_\mu$), and such that given any (finitely generated) R_μ -module M and any (finitely generated) basic R_μ -module P , we have $M \in C_\mu \Leftrightarrow M \oplus P \in C_\mu$.

If we are given such a class C_μ for each μ , let us denote by C the class of R -modules isomorphic to (finitely generated) standard R -modules of the form $\bigoplus M_\mu \otimes R$, with each $M_\mu \in C_\mu$. Proposition 2.1 and Theorem 2.2 easily give the following Corollary (except that the proof of R_0 -stability of C in the finitely generated case requires Theorem 2.3).

COROLLARY 2.10. *Suppose that, for each μ , C_μ is an R_0 -stable class of (finitely generated) R_μ -modules, and let C be the induced class of standard R -modules. Then C is R_0 -stable, and if each C_μ is closed under going to (finitely generated) submodules, so is C . \square*

If C_μ is an R_0 -stable class of finitely generated R_μ -modules, let $\rho S_\oplus(C_\mu)$ denote the subset of $S_\oplus(\text{Mod } R_\mu)$ induced by the modules of C_μ . This will be a preordered partial semigroup. Let $\bigoplus \rho S_\oplus(C_\mu)$ denote the class of formal sums $\sum [M_\mu]$ ($M_\mu \in C_\mu$) with almost all $M_\mu = \{0\}$. For each μ , we have an action of the abelian semigroup $S_\oplus(\text{Mod } R_0)$ on $\bigoplus \rho S_\oplus(C_\mu)$, by "adding basic modules to the μ component". Let $\bigoplus' \rho S_\oplus(C_\mu)$ denote the quotient of this direct sum by the least equivalence relation equalizing these actions of $S_\oplus(\text{Mod } R_0)$. (If every C_μ contains $\{0\}$, then every $\rho S_\oplus(C_\mu)$ contains $q_\mu(S_\oplus(\text{Mod } R_0))$, and $\bigoplus' \rho S_\oplus(C_\mu)$ can be described as a fibered sum (pushout) of partial semigroups over $S_\oplus(\text{Mod } R_0)$. If each C_μ is closed under \oplus , then the qualifier "partial" can be deleted.) We now get from Corollaries 2.8 and 2.9:

COROLLARY 2.11. *Suppose that, for each μ , C_μ is an R_0 -stable class of finitely generated R_μ -modules, and C the induced class of standard R -modules. Then the natural map $\bigoplus' \rho S_\oplus(C_\mu) \rightarrow \rho S_\oplus(C)$ is a bijection, and the partial operation $+$ and preorder \leq on $\rho S_\oplus(C)$ are precisely those induced by the corresponding structure on the $\rho S_\oplus(C_\mu)$. \square*

Note that for arbitrary (R_μ) , the projective R_μ -modules form an R_0 -stable class closed under \oplus . Since all projective R -modules are standard (Corollary 2.6), we see that the abelian semigroup of isomorphism classes of finitely generated projective R -modules will be the pushout of the corresponding semigroups for the R_μ .

For another more specialized application, recall that a right *fir* (free, ideal ring; see [16]) can be characterized as a ring A such that the class of free right A -modules is closed under submodules, and the semigroup $S_\oplus(\text{Free } A)$ of finitely generated free right A -modules is isomorphic to the additive semigroup of nonnegative integers. Such a ring is an integral domain, hence if in our present context, some R_μ is a right fir, R_0 must be a sfield, and the map $S_\oplus(\text{Mod } R_0) \rightarrow S_\oplus(\text{Free } R_\mu)$ will be an isomorphism. We can easily deduce:

COROLLARY 2.12 (CF. [12, §6]). *A coproduct of right firs over a sfield is a right fir.*

Our results can also be applied to non- R_0 -stable classes. Suppose that, for some $n > 0$, all our R_μ are n -firs (again see [16]). Then it is easy to see that the class of standard R -modules of the form $\bigoplus F_\mu \otimes R$, where each F_μ is free over R_μ , and their ranks sum to n , is closed under free transfer isomorphisms. It is now easy to recover:

COROLLARY 2.13 (COHN [14], [1, p. 202]). *A coproduct of n -firs over a sfield is an n -fir.*

(For some details of proof cf. next corollary.) \square

In [2], we generalized the idea of a free module of rank n over an n -fir to that of a "hereditarily" projective module over an arbitrary ring R —a projective R -module P such that the image of P under any homomorphism into a free module of finite rank, $f: P \rightarrow F$, is again projective.⁽³⁾ Corollary 2.13 generalizes to:

⁽³⁾ *Note.* Azumaya's students H. S. Ahluwalia and M. S. Shrikhande use some similar terms with quite different meanings.

COROLLARY 2.14. *A finitely generated standard R -module $M = \bigoplus M_\mu \otimes R$ is hereditarily projective if and only if for every standard R -module structure $\bigoplus M'_\mu \otimes R$ that can be obtained from the given one by basic transfers, each M'_μ is a hereditarily projective R_μ -module.*

SKETCH OF PROOF. “ \Rightarrow ” If some M'_μ had a nonprojective image under a map into a projective R_μ -module, $f: M'_\mu \rightarrow P$, then M would have a nonprojective image under the induced map $M \rightarrow P \otimes_\mu R$. To prove “ \Leftarrow ”, note that by Theorem 2.2, the image of a map of M into a free R -module will be a standard module N , then apply Theorem 2.3 to the map of M into N , and use the facts that the M'_μ will be hereditarily projective, and that N , and hence the N_μ , are submodules of a projective module. \square

(By duality [2, Lemma 2.15], the same result thus holds for finitely generated cohereditarily projective modules. It is not hard to prove the same result for finitely generated weakly hereditarily projective modules; we do not know what may be true of strongly hereditarily projective modules [2, §2].)

We now turn to the theory of the general linear group. It would be nice if we could say that GL_n of our coproduct R was generated by its subgroups $GL_n(R_\lambda)$, and elementary matrices. It will turn out instead that they are generated by the $GL_n(R_\lambda)$ and certain matrices corresponding to our transvections. Only when our rings are n -firs can we reduce the latter to elementary matrices.

If γ and ϵ are a column and a row vector of length n over some R_μ , with $\epsilon\gamma = 0$, and δ any element of R , let us (for the purposes of the next corollary) call the invertible $n \times n$ matrix $\theta = I - \gamma\delta\epsilon$ a “ μ -based transvection”. Note that a nondiagonal elementary matrix over R is in particular a 0-based transvection matrix.

One of the equivalent conditions for R_μ to be an n -fir [16, Theorem 1.1] is that for any row and column vectors ϵ, γ over R of length n , with $\epsilon\gamma = 0$, there should exist $\beta \in GL_n(R_\mu)$ such that $\epsilon\beta$ and $\beta^{-1}\gamma$ have the block-forms $(0, *)$ and $\begin{pmatrix} * \\ 0 \end{pmatrix}$ with blocks of corresponding sizes. In that case, the μ -based transvection $\theta = I - \gamma\delta\epsilon$ as above is conjugate to $\beta^{-1}\theta\beta = I + \begin{pmatrix} 0 & * \\ 0 & 0 \end{pmatrix}$, which is a product of elementary matrices.

COROLLARY 2.15. *Suppose R_0 is a sfield, and n an integer such that for all μ , all $m \leq n$, and all R_μ -modules M_μ , we have $M_\mu \oplus R_\mu^m \cong R_\mu^n \Rightarrow M_\mu \cong R_\mu^{n-m}$ (isomorphisms as R_μ -modules). Then $GL_n(R)$ is generated by its subgroups $GL_n(R_\mu)$ and the μ -based transvections (μ ranging over $\Lambda \cup \{0\}$).*

In particular (COHN [14, last paragraph], also [1, p. 202]) if all R_μ are n -firs, $GL_n(R)$ is generated by the $GL_n(R_\mu)$ and the elementary matrices.

SKETCH OF PROOF. We apply Theorem 2.3 to an automorphism f of the free R -module of rank n , $M = N = R^n = R_0^n \otimes_0 R$. Our module-theoretic hypotheses insure that at every step in the transformations of M given by that theorem, the "components" M_μ will all be free and have ranks summing to n . Hence we can always keep an n -element basis of M formed from bases of the current M_μ .

When we perform a free transfer, splitting off a summand xR_{μ_1} from some M_{μ_1} , and attaching xR_{μ_2} to M_{μ_2} , let us precede this by changing our basis of M_{μ_1} to one of the form $B' \cup \{x\}$, where B' is a basis of the submodule M'_{μ_1} complementing xR_{μ_1} (cf. §1). This change of basis corresponds to the action of an element of $\text{Gl}_n(R_{\mu_1})$. The free transfer itself then becomes a formal redistribution of the basis elements among the M_μ .

When we apply a transvection θ , if the indices μ_1 and μ_2 involved (§1) are distinct, then the matrix representing θ will essentially have the form $\begin{pmatrix} I & * \\ 0 & I \end{pmatrix}$, a product of elementary matrices, which are 0-based transvection matrices, while if $\mu_1 = \mu_2$, it will be a μ_1 -based transvection matrix.

Theorem 2.3 tells us that after being composed with these automorphisms, f yields an induced automorphism f' . In view of the structure of standard module we chose for N , this means $f' \in \text{GL}_n(R_0)$. So f is a composition of matrices of the sorts described.

The final assertion follows from our observations on μ -based transvections when R_μ is an n -fir. \square

COROLLARY 2.16 (UNITS AND ZERO-DIVISORS). *Suppose R_0 is a sfield, and that for all μ and all R_μ -modules M_μ we have $M_\mu \oplus R_\mu \cong R_\mu \Rightarrow M_\mu = \{0\}$ (isomorphism as R_μ -modules. Equivalently, any one-sided invertible element of R_μ is invertible.) Then:*

- (i) *The group of units of R is generated by the units of the R_μ , together with $\{1 - \gamma\delta\epsilon \mid \epsilon\gamma = 0; \epsilon, \gamma \in R_\mu, \mu \in \Lambda \cup \{0\}; \delta \in R\}$.*
- (ii) *If $xy = 0$ in R , then there exist a unit α in R , and sets U, V in some R_{μ_1} with $UV = \{0\}$, such that $x \in RU\alpha$, and $y \in \alpha^{-1}VR$.*

PROOF. (i) is simply the case $n = 1$ of the preceding corollary.

To prove (ii), consider the homomorphism $f =$ left multiplication by x : $M = R \rightarrow R$, which has y in its kernel. Apply Theorem 2.3 to f as a map of M onto the standard module $N = f(R)$. By our module-theoretic hypotheses (cf. proof of preceding result), the standard module $M' \cong M$ we get will have the form $R_{\mu_1} \otimes R$ for some μ_1 (all other components zero). Adjusting by the isomorphism $M \cong M'$, which in terms of the natural bases for M and M' takes the form of multiplication by a unit $\alpha \in R$ —in other words, replacing x

by $x\alpha$ and y by $\alpha^{-1}y$ —we are reduced to the case where $f: M \rightarrow N$ is an induced homomorphism, and the standard structure on M is $M = R_{\mu_1} \otimes R$.

Now the significance for us of the fact that f is an induced homomorphism will be that $\text{Ker } f$ is generated by the kernels of the maps $f_\mu: M_\mu \rightarrow N_\mu$ —in this case, by the kernel of the one map $f_{\mu_1}: R_{\mu_1} \rightarrow N_{\mu_1} \subseteq N \subseteq R$. Call this kernel (a right ideal of R_{μ_1}) V , and its left annihilator in R_{μ_1} , U . Thus, $y \in \text{Ker } f = (\text{Ker } f_{\mu_1})R = VR$, while by the fact that R is right flat over R_{μ_1} , and $0 = f_{\mu_1}(V) = xV$, in R , we must have $x \in RU$. \square

Incidentally, it is not hard to verify by Corollary 2.8 that the module-theoretic conditions in the hypotheses of the above two corollaries will carry over to the coproduct ring R .

The technique used in the above proof, of first observing by Theorem 2.2 that the image of a map f of standard modules is standard, then applying Theorem 2.3 to the surjection $f: M \rightarrow f(M)$ to get a description of the kernel, deserves to be abstracted as a final corollary:

COROLLARY 2.17. *Let $f: M \rightarrow N$ be a homomorphism of standard R -modules. Then there exists an isomorphism $\alpha: M' \cong M$ of standard modules (namely the one given in Theorem 2.3) such that putting $f\alpha = f': M' \rightarrow N$, we have $\text{Ker } f' = \bigoplus (\text{Ker } f' | M'_\mu) \otimes R$.*

3. Preview of the proofs. In §§4–8 below, R_0 will be assumed a sfield.

In §4, we obtain a normal form for elements of a standard R -module $N = \bigoplus N_\mu \otimes R$ (which will immediately give us Proposition 2.1).

We then consider an arbitrary family (L_μ) where, for each μ , L_μ is an R_μ -submodule of N . We should like the submodule of N generated by this family to be isomorphic to $\bigoplus L_\mu \otimes R$. This will, in fact, be true if the elements of the L_μ , expressed in our normal form, are such that no bad “interaction” can occur among them under the R -module structure of N . We shall write down such noninteraction conditions explicitly, call (L_μ) “well-positioned” (Definition 5.2) if they hold, and prove the submodule of N generated by a well-positioned family (L_μ) is indeed isomorphic to $\bigoplus L_\mu \otimes R$ (Proposition 8.2). We find further (Proposition 8.4) that if a well-positioned family (L_μ) generates all of N , then we must have precisely $N_\mu = L_\mu$ for all μ .

What if a family (L_μ) is not well-positioned? We shall show in §6 that it can then be modified to a slightly “better” family (L'_μ) generating the same submodule, and that if (L_μ) is “finitely generated” (all L_μ finitely generated, and all but finitely many equal to zero), then a finite sequence of such adjustments will yield a well-positioned family. Suppose further that each L_μ is given as the image of some homomorphism of R_μ -modules, $f_\mu: M_\mu \rightarrow N$,

so that the R -submodule generated is the image of a map $f: M = \bigoplus M_\mu \otimes R \rightarrow N$. Then the "adjustments" of (L_μ) described above correspond to transvections and free transfer automorphisms of M . These results (Proposition 6.2) combined with Proposition 8.4 described above, clearly yield Theorem 2.3. We also prove (Proposition 7.1) that every submodule of N , not necessarily finitely generated, has a well-positioned generating family of submodules. Combined with Proposition 8.2, this yields Theorem 2.2. (Combining Propositions 6.2 and 8.2 yields a direct proof of Corollary 2.17.)

The methods used here were inspired by those introduced by P. M. Cohn to show that a ring with n -term weak algorithm is an n -fir [15], [16], and that a ring with full weak algorithm is a fir [11], [12], [16]. In the first case, one repeatedly modifies an m -tuple ($m \leq n$) of generators of a right ideal I till one gets an m -tuple whose nonzero entries are v -independent and hence linearly independent. In the second case one shows that one can choose a v -independent generating set for an arbitrary right ideal I . Our condition of being "well-positioned" occupies the place of Cohn's " v -independence". Cohn's method, as he observes in [16], goes back to Euclid's algorithm [17, Book VII, Propositions 1 and 2] and its generalization to polynomials by Simon Stevin [23, Book II, Problem LIII].

4. Normal form in a standard module. We now take R_0 to be a skew field. Hence each R_λ is free as a right R_0 -module, and we can choose for it a right R_0 -basis of the form $T_\lambda \cup \{1\}$ ($1 \notin T_\lambda$).

For each $\mu \in \Lambda \cup \{0\}$, let N_μ be a right R_μ -module, and let us likewise choose for each of these modules a right R_0 -basis S_μ . We shall write T for the disjoint union of the T_λ , and S for the disjoint union of the S_μ , and shall say that an element of T or S is "associated" with an index $\lambda \in \Lambda$ if it comes from the corresponding T_λ or S_λ . (Note that elements of S_0 are not considered to be associated with any index. The idea is that the elements associated with a given index are those that will "interact", and so must be paid special attention to, when we multiply by an element of the corresponding ring. But since S_0 is a basis of N_0 over R_0 , we have no "interaction" to worry about in that case.)

We claim that the standard R -module $\bigoplus N_\mu \otimes R$ has for a right R_0 -basis the set of all products $st_1 \cdots t_n$ ($s \in S$, $t_i \in T$, $n \geq 0$) such that no two successive terms among s, t_1, \cdots, t_n are associated with the same index λ .

To show this, let U denote the set of all such *formal* products, and let N denote the free right R_0 -module on the set U . We shall give N a structure of right R -module, and show that this has the universal property characterizing $\bigoplus N_\mu \otimes R$.

To make an R_0 -module N into an R -module, it suffices to define on it, for each λ , a right R_λ -module structure extending the R_0 -module structure. (This follows from the definition of a coproduct of R_0 -rings, and the characterization of a module over a ring R as an additive group N with a homomorphism of R into $\text{End}(N)$.)

For any $\mu \in \Lambda \cup \{0\}$, let us call an element of U "associated with μ " if and only if its last factor (an element of S or T) is associated with μ , and let us denote by $U_{\sim\mu}$ the set of elements of U not associated with μ . Note that $U_{\sim 0} = U$.

For each index $\lambda \in \Lambda$, let us write N as the direct sum of the free right R_0 -module spanned by S_λ , and the free right R_0 -module spanned by all other elements of U . The first submodule may be identified with N_λ , and thus given N_λ 's structure of R_λ -module. Now each of the remaining elements of U can be written uniquely $u\tau$, where $u \in U_{\sim\lambda}$, and τ is either the "empty word", or a member of T_λ . But since $\{1\} \cup T_\lambda$ is a basis for R_λ , we can identify the R_0 -module spanned by all these elements with the free right R_λ -module on the basis $U_{\sim\lambda}$. Together, these definitions give us a structure of right R_λ -module on the R_0 -module N . Since we have this for each λ , we have a structure of right R -module.

To show that N has the universal property of the standard module $\bigoplus N_\mu \otimes R$ (§1), let $f_\mu: N_\mu \rightarrow P$ ($\mu \in \Lambda \cup \{0\}$) be any family of R_μ -module homomorphisms of the N_μ into an R -module P . We define $f: N \rightarrow P$ first on S , by $f(s) = f_\mu(s)$ ($s \in S_\mu$), then on U , by $f(st_1 \cdots t_n) = f(s)t_1 \cdots t_n$, and finally on N by R_0 -linearity. It is easy to show that this map is R_λ -linear for all λ , and so is R -linear. So we have:

PROPOSITION 4.1 (cf. [9], [22], [4, §7]). *The standard R -module $N = \bigoplus N_\lambda \otimes R$ has for a right R_0 -basis the set U of products $st_1 \cdots t_n$ with $s \in S$, $t_i \in T$, $n \geq 0$, and no two successive factors associated with the same index $\lambda \in \Lambda$.*

For each $\lambda \in \Lambda$, N is the direct sum as a right R_λ -module of N_λ (which is embedded in N under the canonical map), and a free R_λ -module with basis $U_{\sim\lambda}$.

In particular, we have proved Proposition 2.1 (for R_0 a sfield). We shall call the elements of the basis U of N *monomials*.

Given $\mu \in \Lambda \cup \{0\}$ and $u \in U_{\sim\mu}$, we shall denote by $c_{\mu u}: N \rightarrow R_\mu$ the R_μ -linear "right coefficient of u " function, in terms of the representation of N given in the first paragraph of Proposition 4.1 for $\mu = 0$, and the second paragraph for $\mu \neq 0$.

There is a slight modification of Proposition 4.1 that we shall need at a later point:

LEMMA 4.2. *Let M_0 be a right R_0 -module with basis Q_0 , and suppose also that for each $\lambda \in \Lambda$ we are given another R_0 -basis of M_0 , $Q_{0,\lambda}$. Then a right R_0 -basis for $M_0 \otimes R$ is given by the set of all products $qt_1 \cdots t_n$, where $t_i \in T$, $n \geq 0$, no two successive t_i are associated with the same index, and if t_1 is associated with $\lambda \in \Lambda$, then $q \in Q_{0,\lambda}$, while if $n = 0$, $q \in Q_0$.*

The proof is essentially as for Proposition 4.1, except that in defining the R_λ -module structures on the R_0 -module M spanned by these products, one considers, for each λ , a different basis of the submodule $M_0 \subseteq M$. \square

(Note. The arguments of the above section do not really require that R_0 be a sfield, but merely that the R_λ/R_0 , the N_μ , and M_0 all be free as right R_0 -modules.)

5. Support, degree, well-ordering, purity, leading terms, and well-positioned families of submodules. Let N be as in the preceding section.

For $\mu \in \Lambda \cup \{0\}$, we shall define the μ -support of an element or subset of N (relative to the basis we have constructed) to be the set of elements of $U_{\sim\mu}$ occurring in the R_μ -free part of the representation of these elements described in Proposition 4.1. In other words, $u \in U_{\sim\mu}$ lies in the μ -support of an element $x \in N$ if and only if $c_{\mu u}(x) \neq 0$. For $\mu \neq 0$, x has empty μ -support if and only if $x \in N_\mu$; for $\mu = 0$, if and only if $x = 0$. The 0-support of an element will also simply be called its support. Note that any finitely generated R_μ -submodule of N has finite μ -support.

We define the *degree* of a monomial (an element of U) to mean its length, and the degree of a nonzero element of N as the maximum of the degrees of the elements of its support.

Let us well-order the sets S and T arbitrarily. A lexicographic well-ordering (reading from the left) is induced on the set of monomials of each degree. If we further consider a monomial of greater degree to be greater than any monomial of lower degree, we get a well-ordering on the whole of U .

By the *leading term* of a nonzero element of N , we shall mean the maximal monomial in its support, under this ordering.

Let us call a nonzero element of N λ -pure ($\lambda \in \Lambda$) if all of its terms of maximal degree are associated with the index λ . If x is an element of N that is *not* λ -pure, some of the monomials of maximal degree in the support of x will lie in $U_{\sim\lambda}$; let us call the greatest of these the λ -leading term of x . (Thus, λ -leading term is only defined for non- λ -pure elements!)

LEMMA 5.1. *Let $y \in N$, $t_1 \in T_{\lambda_1}, \dots, t_n \in T_{\lambda_n}$, where $n > 0$, y is non- λ_1 -pure, and successive λ_i are distinct. Let y be of degree m , with λ_1 -leading term u , and suppose u occurs in y with coefficient $1 \in R_0$. Then $yt_1 \cdots t_n$ is λ_n -pure, and has leading term $ut_1 \cdots t_n$, again with coefficient 1.*

PROOF. By induction, it suffices to prove the result when $n = 1$. We shall write t for t_1 , λ for λ_1 .

Let v be any monomial other than u occurring in y , say with coefficient $c \in R_0$. Note that $(vc)t \in vR_\lambda$. If v (unlike u) is associated with the index λ , we see that $vct \in vR_\lambda$ will have degree $< m + 1 = \text{degree } ut$. If v is associated with another index, then $v < u$ because u is the λ -leading term of y . The product $vct \in vR_\lambda$ will be an R_0 -linear combination of terms $v\tau$, $\tau \in T_\lambda \cup \{1\}$. These will be $< ut$ by the nature of our ordering on U . Hence ut , which occurs with coefficient 1, will be the leading term of yt . Further, those terms $v\tau$ which have degree $m + 1$ (i.e., $\tau \neq 1$) are, like ut , associated with the index λ . So yt is λ -pure. \square

We shall call an element of N 0-pure if it is not λ -pure for any $\lambda \in \Lambda$, or if it is 0; and the 0-leading term of a non-0-pure element of N will mean its leading term. Note that a λ -pure element of degree n has λ -support consisting of monomials of degrees $< n$, while a 0-pure element's 0-support consists of monomials of degrees $\leq n$.

We can now define a "well-positioned" family of submodules. It is a rather technical set of conditions, to which I was propelled by the nature of the proof; I hope that the arguments of the next few sections will give the reader some sense of why they are the "right" conditions to aim for.

DEFINITION 5.2. *Suppose that for each $\mu \in \Lambda \cup \{0\}$ we are given an R_μ -submodule $L_\mu \subseteq N$. We shall say that the family of submodules (L_μ) is well-positioned if for each μ , it satisfies:*

(a $_\mu$) *All members of L_μ are μ -pure, and for each μ_1, μ_2 ;*

(b $_{\mu_1, \mu_2}$) *The μ_1 -support of L_{μ_1} contains no monomial u which is also the μ_1 -leading term of a non- μ_1 -pure element xa , where $x \in L_{\mu_2}$, $a \in R$, and where if $\mu_1 = \mu_2$, $\text{degree } xa > \text{degree } x$ as well.*

6. **Adjusting a homomorphism f .** Suppose we are given a homomorphism $f: M \rightarrow N$ of standard R -modules, such that the system of R_μ -submodules $f(M_\mu) \subseteq N$ is not well-positioned. We shall now describe how to modify f and M so as to apparently improve things somewhat. We then make this idea of improvement rigorous, for M finitely generated, by associating to every

finitely generated family (L_μ) of R_μ -submodules of N an index in a certain well-ordered set, and showing that the "adjustments" described lower this index. By induction, some finite sequence of such adjustments will reduce f to a map $f': M' \rightarrow N$ such that $(f'(M'_\mu))$ is well-positioned.

Suppose first that $(f(M_\mu))$ does not satisfy condition (a_λ) for some $\lambda \in \Lambda$. Then $f(M_\lambda)$ contains an element $f(x)$, say of degree n , which is not λ -pure: $f(x)$ involves some monomial $u \in U_{\sim \lambda}$ of degree n . Thus, $c_{\lambda u} f(x)$ is a nonzero element of R_0 , hence invertible. Hence the R_λ -linear map $c_{\lambda u} f: M_\lambda \rightarrow R_\lambda$ splits, and we get $M_\lambda = M'_\lambda \oplus xR_\lambda$, where $M'_\lambda = \text{Ker}(c_{\lambda u} f|_{M_\lambda})$. (Here, in contrast to the preceding section, we are really using the assumption that R_0 is a field.)

We now transfer the free summand xR_λ of M_λ to M_0 . Precisely, we define a free transfer isomorphism $\iota: M' \rightarrow M$, where M'_λ is defined as above, $M'_0 = M_0 \oplus xR_0$, and $M'_\mu = M_\mu$ for all $\mu \neq \lambda, 0$. We then set $f' = f\iota: M' \rightarrow N$. Note that the λ -support of $f'(M'_\lambda)$ no longer contains u !

On the other hand, suppose f fails to satisfy (a_0) , so that $f(M_0)$ contains an element $f(x)$ (say of degree n) which is not 0-pure. This means $f(x)$ is nonzero and is λ -pure for some $\lambda \in \Lambda$. Let u be any monomial of degree n in the support of $f(x)$. Again, we see that $c_{0u} f: M_0 \rightarrow R_0$ splits: $M_0 = \text{Ker}(c_{0u} f|M_0) \oplus xR_0$. This time, we transfer the free summand we have obtained from M_0 to M_λ .

If (b_{μ_1, μ_2}) fails, let u, a , and $f(x)$ (for " x ") be as in the statement of that condition. Adjusting a by a member of R_0 if necessary, we can assume $c_{\mu_1 u} f(x)a = 1$. Put $e = c_{\mu_1 u} f: M_{\mu_1} \rightarrow R_{\mu_1}$, and let $e: M \rightarrow R$ be the R -linear map (killing all other M_μ) induced by e . Let $\delta: R \rightarrow R$ be left multiplication by a ; and let $\gamma: R \rightarrow M$ be the R -linear map taking 1 to x . We claim $\iota = \text{id}_M - \gamma\delta e$ is a transvection. We have to check that, if $\mu_1 = \mu_2$, $e(x) = 0$. But in this case, $\deg u = \deg f(x)a > \deg f(x)$, by the statement of (b_{μ_1, μ_2}) , so u cannot be in the μ_1 -support of $f(x)$, so $e(x) = c_{\mu_1 u} f(x) = 0$.

Note that this ι will leave elements of M_μ fixed for all $\mu \neq \mu_1$, while each $y \in M_{\mu_1}$ will be sent to the unique element of the form $y - xac$ ($c \in R_{\mu_1}$) whose μ_1 -support does not contain u . In general, this μ_1 -support will contain some elements v that were not in the μ_1 -support of y , but note that because u is the μ_1 -leading term of xa , all such v are $< u$ under our ordering.

We now want to show that using the above operations, we eventually arrive somewhere.

Let us take two disjoint copies of U , which we shall call U_0 and U_Λ , and whose elements will be denoted, respectively, u_0 and u_Λ ($u \in U$). We

order the union $U_0 \cup U_\Lambda$ by “interlacing” these copies, so that:

(el'ts of U_0 of deg 1) < (el'ts of U_Λ of deg 1)

< ... < (el'ts of U_0 of deg n) < (el'ts of U_Λ of deg n) < ...

Here U_0 and U_Λ each keep the internal ordering of U . Clearly, $U_0 \cup U_\Lambda$ is well-ordered.

Let H denote the additive semigroup of almost everywhere zero, non-negative integer-valued functions on $U_0 \cup U_\Lambda$, ordered lexicographically reading from higher to lower members of $U_0 \cup U_\Lambda$. Then H is well-ordered, because $U_0 \cup U_\Lambda$ is. (Cf. [13, Theorem III.2.9].)

If (L_μ) is a family of finitely generated (R_μ) -modules, only finitely many of which are nonzero, let the index $h((L_\mu)) \in H$ of this system be defined as follows: For each $u \in U$, the value of $h((L_\mu))$ at u_0 will be 1 if u lies in the 0-support of L_0 , 0 otherwise. Its value at u_Λ , on the other hand, will equal the number of $\lambda \in \Lambda$ for which u is in the λ -support of L_λ . This will indeed be almost everywhere zero, because each L_μ has finite μ -support.

We now observe that the free transfer isomorphism ι we performed when condition (a_λ) failed had the effect of cutting down the module M_λ , and in particular, eliminating u from the λ -support of $f(M_\lambda)$, thus decreasing $h((f(M_\lambda)))$ at u_Λ . It may also increase the index at certain points of U_0 , since M_0 was enlarged; but since these are all of degree $\leq n$, they will be below u_Λ in $U_0 \cup U_\Lambda$. So by our choice of ordering of H , the new index $h((f'(M'_\mu)))$ is less than the old value $h((f(M_\mu)))$.

The transfer we performed when (a_0) failed decreased our index at u_0 , while the values at which it may have increased it are all elements of U_Λ of degree $< n$, because a λ -pure element of degree n has λ -support consisting of elements of degrees $\leq n - 1$. So again our index was lowered.

Finally, in the (b_{μ_1, μ_2}) case, it is clear from the observations we made on the support of $f(y)$ ($y \in M_{\mu_1}$) that our index has been decreased at a certain point (u_Λ or u_0 depending on μ_1), and possibly increased only at smaller values. (Incidentally, this is the one case in which we used the full ordering on U . In the other cases, comparison by length was all that was involved.) We have proved:

LEMMA 6.1. *If $f: M \rightarrow N$ is a homomorphism of standard R -modules such that M is finitely generated, and $(f(M_\mu))$ is not well-positioned, then there exists either a free transfer isomorphism $\iota: M' \rightarrow M$, or a transvection automorphism ι of $M = M'$, such that $h((f(\iota(M'_\mu)))) < h((f(M_\mu)))$. \square*

Hence by induction on $h((f(M_\mu)))$, we get:

PROPOSITION 6.2. *If $f: M \rightarrow N$ is a homomorphism of standard R -modules, and M is finitely generated, then there exists an $\alpha: M' \xrightarrow{\sim} M$, which is a finite composition of free transfer isomorphisms and transvection automorphisms, such that the system $(f\alpha(M'_\mu))$ of submodules of N is well-positioned.*

7. Generating families for arbitrary submodules L .

PROPOSITION 7.1. *Let L be an R -submodule of the standard R -module N . Then there exists a well-positioned family of R_μ -submodules $L_\mu \subseteq L \subseteq N$, such that $L = \Sigma L_\mu R$.*

PROOF. For each μ , let X_μ denote the set of elements of $U_{\sim\mu}$ which appear as μ -leading terms of non- μ -pure members of L . Then we define each L_μ to be the R_μ -submodule of L consisting of all elements whose μ -support is disjoint from X_μ .

It is immediate from Definition 5.2 that (L_μ) is well-positioned!

We want to show that the L_μ generate L . For any $y \in N$, and any μ_1 , let $h(y, \mu_1) \in H$ denote the characteristic function of the μ_1 -support of y , taken in U_0 if $\mu_1 = 0$, in U_Λ if $\mu_1 \in \Lambda$. (One may think of $h(y, \mu_1)$ as the index of the system of submodules of N defined to have μ_1 -component yR_{μ_1} , and other components zero.)

If the L_μ do not generate L , let y be a member of L not in $\Sigma L_\mu R$, and μ_1 a member of $\Lambda \cup \{0\}$, chosen together so as to minimize $h(y, \mu_1)$.

From this minimality assumption applied to the choice of μ_1 , one sees that y must be μ_1 -pure. Since $y \notin L_{\mu_1}$, the μ_1 -support of y contains some monomial $u \in X_{\mu_1}$, that is, the μ_1 -leading term u of some non- μ_1 -pure element $x \in L$. We may take u to occur with coefficient 1 in x .

Note that if $\mu_1 = 0$, then non- μ_1 -purity means that x is λ -pure for some λ , hence $h(x, \lambda) < h(y, 0)$, since the λ -support of x will consist of elements of degrees $< \text{degree } x = \text{degree } u \leq \text{degree } y$. If on the other hand $\mu_1 \in \Lambda$, then one similarly sees by our ordering of H that $h(x, 0) < h(y, \mu_1)$. In either case, our minimality assumption tells us that $x \in \Sigma L_\mu R$.

But now writing $c = c_{\mu_1 u}(y) \in R_{\mu_1}$, we have $h(y - xc, \mu_1) < h(y, \mu_1)$, so $y - xc \in \Sigma L_\mu R$. It follows that $y \in \Sigma L_\mu R$, contradicting our assumption. \square

8. **The submodule generated by a well-positioned system.** In this section we shall discover the virtues of well-positioned systems.

Let (L_μ) be a well-positioned system of R_μ -submodules of N .

Given $\mu \in \Lambda \cup \{0\}$, let us choose for *each* monomial u that is the leading term of some element of L_μ an element $q \in L_\mu$ having this leading

term, with coefficient 1. For each μ , call the set of q 's so selected Q_μ . It follows from the well-ordering of U that Q_μ is an R_0 -basis of L_μ .

The leading term of each $q \in Q_\mu$ will also be called its "key term". Note that if $\mu \neq 0$, this term is also the μ' -leading term of q for all $\mu' \neq \mu$. An element of L_0 , being 0-pure, likewise has a λ -leading term for all $\lambda \in \Lambda$, but for at least one λ , this will not equal its leading term; so we must do a little more work in this case: Given $\lambda \in \Lambda$, we choose for each monomial u that is the λ -leading term of a member of L_0 , an element $q \in L_0$ having u as λ -leading term, with coefficient 1, and call the set of q so chosen $Q_{0,\lambda}$. Each of these sets will, like Q_0 , be an R_0 -basis of L_0 . By the "key term" of a member of $Q_{0,\lambda}$, we shall mean its λ -leading term.

Now let V denote the family of all products:

$$(2) \quad \begin{aligned} &qt_1 \cdots t_n \quad (n \geq 0, t_i \in T_{\lambda_i}, \lambda_i \neq \lambda_{i+1}), \text{ where either:} \\ &q \in Q_0 \quad \text{and } n = 0, \\ &\text{or } q \in Q_{0,\lambda_1} \quad \text{and } n \geq 1, \\ &\text{or } q \in Q_{\lambda_0} \quad \text{for some } \lambda_0 \in \Lambda; \text{ and } \lambda_0 \neq \lambda_1 \text{ if } n \geq 1. \end{aligned}$$

LEMMA 8.1. *If $qt_1 \cdots t_n$ is as in (2), and the key term of q is u , then the leading term of $qt_1 \cdots t_n$ is $ut_1 \cdots t_n$. Further, no two such products have the same leading terms, hence the family V is linearly independent over R_0 .*

PROOF. The first assertion follows from Lemma 5.1.

To prove the second, suppose we have two distinct elements of V with the same leading term. By the first assertion, these must have the forms

$$qt_1 \cdots t_n, \quad q't'_1 \cdots t'_m t_1 \cdots t_n,$$

with leading terms

$$ut_1 \cdots t_n = u't'_1 \cdots t'_m t_1 \cdots t_n.$$

Thus, $u = u't'_1 \cdots t'_m$.

Case 1. $m > 0$. Then the key term u of q equals the leading term of $q't'_1 \cdots t'_m$. But q and q' are taken from two of the submodules L_{μ_1}, L_{μ_2} , and this contradicts condition (b_{μ_1, μ_2}) with $x = q'$, $a = t'_1 \cdots t'_{m-1}$.

Case 2. $m = 0$ (so $u = u'$), $n > 0$. Then q and q' must each belong either to Q_{0,λ_1} , or to Q_λ , where u is associated to the index λ . (See (2).) As they have the same key term $u = u'$, they cannot belong to the same set by construction. But if one belongs to Q_{0,λ_1} and the other to Q_λ , we get an immediate contradiction to the condition $(b_{\lambda,0})$.

Case 3. $m = n = 0$. Like Case 2, but with Q_0 for Q_{0,λ_1} , and 0 for λ_1 . The asserted linear independence of V follows immediately. \square

But from Lemma 4.2 and Proposition 4.1, we see that the set of formal products as in (2) is an R_0 -basis of $\bigoplus L_\mu \otimes R$. Thus we get:

PROPOSITION 8.2. *Let (L_μ) be a well-positioned system of R_μ -submodules of the standard R -module N . Then the natural map $\bigoplus L_\mu \otimes R \rightarrow \Sigma L_\mu R$ ($\subseteq N$) is an isomorphism. \square*

Propositions 7.1 and 8.2 immediately give Theorem 2.2: Every R -submodule of a standard R -module is isomorphic to a standard R -module.

Now suppose $x \in \Sigma L_\mu R$ has degree 1 as a member of N . Writing x as an R_0 -linear combination of elements of V , we see that each of these must also be of degree 1 (lie in ΣN_μ), and hence be of length 1 as members of V (lie in some L_μ). If further x lies in some single N_{μ_1} , we can see that it can involve no terms from any L_{μ_2} ($\mu_2 \neq \mu_1$); if it did, the μ_1 -leading term of the L_{μ_2} -part of x could not be cancelled by any of the other terms occurring. Consequently we have:

LEMMA 8.3. *Let N , (L_μ) be as above, $L = \Sigma L_\mu R$. Then for all $\mu_1 \in \Lambda \cup \{0\}$, $L \cap N_{\mu_1} \subseteq L_{\mu_1}$.*

If $L = N$ this says $N_{\mu_1} \subseteq L_{\mu_1}$ for all μ_1 . But clearly if any of these inclusions were proper, this would give us a proper inclusion of standard modules, $N \subset L$! Hence, rather:

PROPOSITION 8.4. *Let (L_μ) be a well-positioned system of R_μ -submodules of the standard R -module N , such that $\Sigma L_\mu R = N$. Then for all μ , $L_\mu = N_\mu$.*

Propositions 6.2 and 8.4 give Theorem 2.3. (Take f surjective, and apply Proposition 8.4 to the system $(f\alpha(M'_\mu))$ given by Proposition 6.2.)

This completes the proof of our main results, when R_0 is a field.

9. **Finite direct products of sfields.** Let $K^{(1)}, \dots, K^{(r)}$ be rings (arbitrary for the moment), let $R_0 = K^{(1)} \times \dots \times K^{(r)}$, and for $j = 1, \dots, r$, let $e^{(j)}$ denote the element of R_0 with 1 in the j th place and 0's elsewhere. Thus, $e^{(1)}, \dots, e^{(r)}$ are orthogonal central idempotents of R_0 , summing to 1.

For each j , we may identify the class of $K^{(j)}$ -modules with the class of R_0 -modules that are annihilated by all $e^{(k)}$ except $e^{(j)}$. Then every right (respectively left) R_0 -module M decomposes uniquely, as an R_0 -module, into a

direct sum of right (left) $K^{(j)}$ -modules, $M = \bigoplus_j Me^{(j)}$ (resp. $M = \bigoplus_j e^{(j)}M$). We shall abbreviate $Me^{(j)}$ to $M^{(j)}$ (and $e^{(j)}M$ to jM). Thus a right (left) R_0 -module M may be determined uniquely by specifying for each j a right (left) $K^{(j)}$ -module $M^{(j)}$ (resp. jM).

If R is an R_0 -ring, the $e^{(j)}$ need not be central in R . If we decompose R as a left module, $R = \bigoplus {}^jR$, and then decompose each of the right ideals jR as a right R_0 -module, we get a decomposition $R = \bigoplus_{j,k} ({}^jR^k)$ into $(K^{(j)}, K^{(k)})$ -bimodules. Note that for $k \neq k'$, $({}^jR^k)({}^{k'}R^l) = 0$ because $e^{(k)}e^{(k')} = \{0\}$, while with $k = k'$ we get $({}^jR^k)({}^kR^l) \subseteq ({}^jR^l)$. Intuitively, these formulas say that if we write an element of $R = \bigoplus ({}^jR^k)$ as an $r \times r$ matrix, putting the $({}^jR^k)$ -summand in the (j, k) th position, the multiplication of R is consistent with the formalism of matrix multiplication. (But R is not, in general, the full matrix ring over any ring S .)

Now assume all $K^{(j)}$ are sfields. The structure theory of R_0 -modules is then hardly more complicated than that of vector-spaces over one sfield. An R_0 -module M is determined up to isomorphism by the r -tuple of cardinals $(\text{rank}_{K^{(j)}} M^{(j)})_{j=1, \dots, r}$, and if we choose for each $M^{(j)}$ a right $K^{(j)}$ -basis $B^{(j)}$, then every element of M may be written uniquely as a linear combination of the elements of these sets, where each $b \in B^{(j)}$ has coefficient in $K^{(j)}$, and almost all coefficients are zero. We shall call such an r -tuple of sets, $B = (B^{(j)})$, a basis for the R_0 -module M . (It is not, of course, a free basis.)

We see that every right module over R_0 is a direct sum of copies of the modules $K^{(j)} = e^{(j)}R_0$. Hence if R_λ is an R_0 -ring, the basic right R_λ -modules, as defined in §1, will be all direct sums of copies of the R_λ -modules $K^{(j)} \otimes_{R_0} R_\lambda \cong ({}^jR_\lambda)$ ($j = 1, \dots, r$). Thus, if $U = (U^{(j)})$ is an r -tuple of sets, we can form the "basic R_λ -module on the basis U ":

$$\bigoplus u ({}^jR_\lambda) \quad (u \in U^{(j)}); \quad u ({}^jR_\lambda \cong ({}^jR_\lambda); \quad j = 1, \dots, r).$$

Let $(R_\lambda)_{\lambda \in \Lambda}$ be a family of faithful R_0 -rings, let R denote their co-product over R_0 , and for each $\mu \in \Lambda \cup \{0\}$, let N_μ be a (right) R_μ -module.

For each μ , and each $j = 1, \dots, r$, let us choose a $K^{(j)}$ -basis $S_\mu^{(j)}$ of $N_\mu^{(j)}$. For each $\lambda \in \Lambda$, and each $j, k = 1, \dots, r$, let us likewise choose a right $K^{(k)}$ -basis of $({}^jR_\lambda^k)$: $({}^jT_\lambda^k)$ if $j \neq k$, or $({}^jT_\lambda^j) \cup \{e^{(j)}\}$ if $j = k$.

Let S denote $\bigcup S_\mu^{(j)}$, and T denote $\bigcup ({}^jT_\lambda^k)$. For each $t \in ({}^jT_\lambda^k)$, j and k will respectively be called the left and right indices of t , and λ the Λ -index of t . A member of S likewise has a right index, and, if it does not come from N_0 , a Λ -index.

Let U denote the set of all formal products $st_1 \cdots t_n$, where $n \geq 0$, $s \in S$, $t_i \in T$, no two successive terms have the same Λ -indices, but the right index of each term equals the left index of the next. We define the right index

and the Λ -index of a member of U as those of its last factor, and we partition the elements of U by right index into subsets $U^{(j)}$ ($j = 1, \dots, r$).

Following the development of §4, we form the R_0 -module N on the basis $(U^{(j)})$ and give it a module structure over each R_λ , as the direct sum of N_λ and a basic R_λ -module with basis $(U^{(j)}_{\sim\lambda})$. In particular, for each $u \in U^{(j)}_{\sim\mu}$ we get an R_μ -linear coordinate-map $c_{\mu u}: N \rightarrow {}^jR_\mu$. We verify that this R -module has the universal property of the standard R -module $N = \bigoplus N_\mu \otimes R$.

We well-order S and T arbitrarily, define a length-lexicographic ordering on U , and define degree, μ -purity, leading term, etc. as in §5. The new right indices are ignored for these considerations. In Proposition 5.1 we must, of course, add the condition that the right index of each factor equals the left index of the next. Definition 5.2, of a well-positioned family, (L_μ) of R_μ -submodules, goes over word for word.

Now let us call an element x of a right R_0 -module M *j-homogeneous* if $x \in M^{(j)}$, equivalently, if $xe^{(j)} = x$, and *homogeneous* if it is *j-homogeneous* for some j . The key point in adapting the proofs of §§6–8 to our new situation is to work with homogeneous elements. Note that if an element y of any R_0 -submodule $A \subseteq N$ has leading term u , say with $u \in U^{(j)}$, then the element $x = ye^{(j)}$ is *j-homogeneous*, and still belongs to A and has leading term u ; also that because it is *j-homogeneous*, we get $xR_0 \cong {}^jR_0 \cong uR_0$. Similarly, if u was the μ -leading term of y , we see that $xR_\mu \cong {}^jR_\mu \cong uR_\mu$.

So, for example, when we are setting the stage for a basic transfer in §6, if we take x *j-homogeneous*, then the surjective map $c_{\mu u}f: M \rightarrow {}^jR_\mu$ is an isomorphism on xR_μ , and so yields a decomposition $M_\mu = M'_\mu \oplus x{}^jR_\mu$.

Similarly, we choose the sets Q_μ and $Q_{0,\lambda}$ of §8 to consist of *homogeneous* elements with the desired key terms. They will then yield R_0 -bases, in our new sense, for the submodules L_μ .

The results of §§6–8 thus go over, and hence Theorems 2.2 and 2.3 are true for this wider class of base-ring R_0 .

10. Matrix rings (a special case of Morita equivalence). In contrast to the introduction of finite direct products in the preceding section, which was non-trivial, but straightforward, the introduction of matrix rings into these investigations is tedious but essentially trivial! (The main problem is where to write a new crop of superscripts.)

Let K be any ring, and consider the $d \times d$ matrix ring over K , $m_d(K)$. We shall denote the matrix units, usually written e_{pq} , by ${}^pe^q$ ($p, q = 1, \dots, d$).

If M is a right module over $m_d(K)$ it has, in particular, a structure of right module over the diagonal subring:

$$\begin{pmatrix} K & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & K \end{pmatrix} \cong K \times \cdots \times K \quad (\text{with idempotents } {}^1e^1, \dots, {}^de^d).$$

Hence M can be decomposed into a direct sum of d K -modules, $\bigoplus_p M^p$. (We are using a slightly different notation from that of the preceding section $-M^p$ instead of M^j)—to avoid conflict when we later combine the matrix and finite-direct-product constructions.) But in this case, multiplication by the non-diagonal matrix units establishes natural isomorphisms between these K -modules: ${}^pe^q: M^p \xrightarrow{\sim} M^q$. Hence $M = \bigoplus_p M^1 {}^1e^p$. This direct sum can be represented as the module $\tau_d(M^1)$ of row vectors of length d with entries in M^1 , on which $m_d(K)$ acts by the usual conventions for multiplying a row vector and a matrix. Conversely, for any right K -module M^1 , $\tau_d(M^1)$ gives us an $m_d(K)$ -module. It is easy to check that every $m_d(K)$ -module homomorphism $\tau_d(M^1) \rightarrow \tau_d(N^1)$ comes from a K -module homomorphism $M^1 \rightarrow N^1$. Hence the correspondence $M = \tau_d(M^1) \leftrightarrow M^1$ gives us an equivalence of the category of right $m_d(K)$ -modules with the category of right K -modules. (This equivalence, and the further equivalences to be described below, are special cases of the theory of Morita equivalence of rings. Cf. [7], [1, pp. 60–71].) Likewise, a left $m_d(K)$ -module can be represented as a module of column vectors with entries in a left K -module, $M \cong c_d({}^1M)$; and given K, K', d, d' , an $(m_d(K), m_{d'}(K'))$ -bimodule will look like the $d \times d'$ matrices over the (K, K') -bimodule ${}^1M^1$. In particular, we find that if R is an $m_d(K)$ -ring, it will have not only as a bi-module but as a ring the structure $m_d({}^1R^1)$; and the expression of an R -module M as a row-vector module over $m_d(K)$: $M = \tau_d(M^1) = \bigoplus M^1 {}^1e^p$ will also be its decomposition as a row-vector module over $m_d({}^1R^1) = R$.

Thus, the theory of $m_d(K)$ -rings and modules over them is functorially isomorphic to that of K -rings and their modules. Note, however, that under this correspondence, the free module of rank 1 over K (or any K -ring) corresponds to an $m(K)$ -module $\tau_d(K)$ which is not free; rather, the direct sum of d copies of it is free of rank 1. (This is because the concept of a free module is not defined in terms of the structure of the category of modules alone, but also in terms of the “forgetful functor” $| \cdot | : Mod R \rightarrow Set$ and our constructions do not respect this functor: $|\tau_d(M^1)| \cong |M^1|^d$.)

Now suppose we have rings $K^{(j)}$ and positive integers d_j ($j = 1, \dots, r$). Let $R_0 = m_{d_1}(K^{(1)}) \times \cdots \times m_{d_r}(K^{(r)})$. If we combine the above observations about modules over matrix rings with our earlier ones about modules over finite direct products, we see that a right R_0 -module M can be written uniquely as $\bigoplus_j \tau_{d_j}(M^{j1})$. Hence there is a natural isomorphism between the category of

such modules and that of $K^{(1)} \times \cdots \times K^{(r)}$ -modules $\bigoplus_j M_j^{d_j}$. One obtains the former module from the latter by “repeating the j th factor d_j times,” for each j .

We likewise find that, if we represent a $K^{(1)} \times \cdots \times K^{(r)}$ -ring R as a matrix of bimodules $({}^j R^k)$ (with appropriate multiplications defined between them), then the most general R_0 -ring can be obtained from such an R by replacing each $({}^j R^k)$ by a $d_j \times d_k$ block of copies of itself. Hence the theory of R_0 -rings and modules over these is functorially isomorphic to that of $K^{(1)} \times \cdots \times K^{(r)}$ -rings and their modules.

But the definition of a coproduct is category-theoretic! Hence to construct a coproduct of R_0 -rings, we can find the corresponding $K^{(1)} \times \cdots \times K^{(r)}$ -rings, form their coproduct in the category of such rings, then go back up to the associated R_0 -ring. The statements of Proposition 2.1 and Theorems 2.2 and 2.3 are also category-theoretic, hence they will go over from the case R_0 a direct product of skew fields, $K^{(1)} \times \cdots \times K^{(r)}$, to R_0 a product of full matrix rings over skew fields.

(One can, of course, alternatively, follow the approach of the preceding section and extend the methods of §§4–8 to cover this case. But the present method is far more pleasant.)

11. Bound and quasifinite modules, arbitrary modules; chain conditions.

Note that in the proof of Lemma 6.1, the hypothesis that M is finitely generated—equivalently, that all M_μ are finitely generated and almost all are zero—was used only to prove that the image-modules $f(M_\mu)$ each have finite μ -supports, almost all of which are empty. Suppose we call a module M over a ring R *bound* if $\text{Hom}(M, R) = \{0\}$ (the usage is due to Cohn), and *quasifinite* if every homomorphic image of M in a free module F lies in a finitely generated submodule of F . (E.g., any right ideal of a right Ore ring is quasifinite; so is any extension of a bound module by a finitely generated module.) It follows from Proposition 2.1 that a standard module $\bigoplus M_\mu \otimes R$ over our coproduct ring R_μ is bound if and only if each M_μ is a bound R_μ -module, and quasifinite if and only if all the M_μ are quasifinite and almost all are bound.

Clearly, the proof of Lemma 6.1 works with M assumed quasifinite rather than finitely generated. Hence we can also weaken “finitely generated” to “quasifinite” in Proposition 6.2, Theorem 2.3, and Corollaries 2.8–2.11.

I wonder whether any modification of these results can be obtained for *arbitrary* standard modules. The proof of Proposition 6.2 suggests that an analog might hold for nonfinitely generated modules, in which the isomorphism α is replaced by some sort of topological *limit* of finite compositions of transvections and basic transfers, such that only finitely many affect any given element of M .

(But will this limit be an isomorphism?) To conjecture a plausible analog of Corollary 2.8, note that if κ is any infinite cardinal, and $\text{Mod}^\kappa R$ the category of right R -modules generated by less than κ elements, then the isomorphism classes of objects of $\text{Mod}^\kappa R$ form an abelian “ κ -semigroup”.

$S_{\oplus}^\kappa(\text{Mod}^\kappa R)$, that is, a semigroup with commutative associative addition defined for all families of fewer than κ elements. Conceivably, $S_{\oplus}^\kappa(\text{Std}^\kappa R)$ might be the pushout of the κ -semigroups $S_{\oplus}^\kappa(\text{Mod}^\kappa R_\lambda)$ over $S_{\oplus}^\kappa(\text{Mod}^\kappa R_0)$.

I have said nothing so far about chain conditions in coproduct rings. Of course, these rings are generally non-Noetherian; e.g., the coproduct over a field k of two copies of the Noetherian polynomial algebra $k[x]$ is the free associative algebra on two indeterminates, $k\langle x_1, x_2 \rangle$, which is very non-Noetherian. But this ring (and in fact any fir) does satisfy more subtle conditions: for each positive integer n , R has ACC on right ideals generated by $\leq n$ elements, and for every right ideal J , R has ACC on right ideals $I \supseteq J$ such that I/J is bound as a right module [16, Theorems 1.2.3 and 5.8.2]. The former condition is also satisfied by any ring R with n -term weak algorithm ([15, Theorem 2.4], but not by all n -firs [15, §4]). These conditions have proved of considerable value in the study of such rings (cf. [16, Chapter 6], [5]). Hence it would be worth knowing whether such conditions are respected by coproducts. I suspect that the methods of the preceding sections can be used to prove such results. Given a chain $I_1 \subseteq I_2 \subseteq \dots$ of right ideals of R (or of submodules of an appropriate standard R -module), let $(L_{i\mu})$ denote the well-positioned system of R_μ -submodules generating I_i ($i = 1, 2, \dots$), constructed as in the proof of Proposition 7.1. By studying these systems one should be able to obtain conditions for this chain to stabilize, in terms of the module-theory of the R_μ . But I have not examined this question carefully. Cf. also [25].

12. A class of simple examples: coproducts of two quadratic extensions.

It is well-known that the one case in which a nontrivial coproduct of groups is “reasonably” small is that of two copies of Z_2 . This coproduct, defined by two generators a, b and two relations $a^2 = e, b^2 = e$, is the infinite dihedral group.

It is likewise easy to see from the normal form results of §4 applied to R itself that for R_0 a sfield, the one nontrivial case in which our right basis for the coproduct ring R will be reasonably small is when Λ consists of exactly two elements, and each R_λ is 2-dimensional over R_0 . We shall examine a few such cases in this section. As we observed at the end of §4, the normal form results obtained there really require less than that R_0 be a sfield. In the following examples, the base ring R_0 will always be commutative, but not necessarily a field.

Our first example has bearing on the global dimension question to be discussed in the next section. Otherwise, the results of this section are not very relevant to the rest of the paper.

For more on the coproduct of two quadratic sfield extensions of a sfield R_0 , see Cohn [10, §§7, 8], and for certain other cases, Smits [21], [29].

EXAMPLE 12.1. *The coproduct over the integers of two copies of the Gaussian integers, $\mathbf{Z}[i]$.* This ring is generated by two elements, i and i' , with defining relations $i^2 = -1$, $i'^2 = -1$. Let us take new generators i and $x = ii'$. Then the second defining relation becomes $(-i)x(-i)x = -1$. Hence x is invertible in R , and R can in fact be described as the result of adjoining to $P = \mathbf{Z}[x, x^{-1}]$ an element i with square -1 , satisfying $ix = x^{-1}i$. (Note. This is not an "anticommutativity relation" $xy = -yx$.) Hence $iA = \bar{A}i$ for all $A \in P$, where $\bar{}$ is the automorphism of P sending x to x^{-1} .

Let M be any P -module. From the fact that R is projective as left and right P -module (in fact, it is free on the basis $\{1, i\}$) and contains P as a direct summand of P -bimodules, we can deduce that $\text{hd}_R M \otimes R \geq \text{hd}_P M \otimes R = \text{hd}_P M$, hence $\text{r gl dim } R \geq \text{r gl dim } P$. But the commutative ring $P = \mathbf{Z}[x, x^{-1}]$ is known to have global dimension 2 [12, p. 174, Theorem 6 and following exercise], so $\text{r gl dim } R \geq 2$, though $\text{r gl dim } \mathbf{Z}[i] = \text{r gl dim } \mathbf{Z}[i'] = \text{r gl dim } \mathbf{Z} = 1$.

Further remarks: If we tensor this ring with the field \mathbf{Q} of rationals, the resulting ring $R \otimes \mathbf{Q}$ will be the coproduct over \mathbf{Q} of two copies of $\mathbf{Q}(i)$, hence by Corollary 2.5, right hereditary. In fact, by [10, §7, Lemma 2] it is a principal right and left ideal domain.

Note that the center of R is $\mathbf{Z}[t]$, where $t = x + x^{-1}$, and that R is free of rank 4 over this subring. It is not hard to show that given relatively prime elements $A, B \in P$, we have $(A + Bi)R \cap \mathbf{Z}[t] = (A\bar{A} + B\bar{B})\mathbf{Z}[t]$. Thus the map $A + Bi \mapsto (A + Bi)(\bar{A} - i\bar{B}) = A\bar{A} + B\bar{B}$ is a kind of "quaternionic norm" of R into its center.

12.2. *Centers.* In fact, the structure of the center in the above example is typical of a wide class of cases. Let C be any commutative ring, and $C[\alpha]$, $C[\beta]$ two-dimensional C -algebras, defined by quadratic equations $\alpha^2 - a\alpha - a' = 0$ and $\beta^2 - b\beta - b' = 0$ ($a, a', b, b' \in C$), and let us abbreviate $a - \alpha = \bar{\alpha}$, $b - \beta = \bar{\beta}$. The coproduct ring R of $C[\alpha]$ and $C[\beta]$ over C will, by the results of §4, be free as a C -module on the basis $\{(\alpha\beta)^n, \beta(\alpha\beta)^n, (\alpha\beta)^n\alpha, \beta(\alpha\beta)^n\alpha \mid n \geq 0\}$. Now consider the element $t = \alpha\beta + (b - \beta)(a - \alpha) = \alpha\beta + \bar{\beta}\bar{\alpha}$ of R . t is central in R . (It suffices to show it commutes with α and β , and by symmetry, it is enough to consider α . Expanding t in α and β , and using the formula $[\alpha, \alpha\beta + \beta\alpha] = [\alpha^2, \beta]$, one arrives at $[\alpha, t] = [\alpha^2 - a\alpha, \beta] = [a', \beta] = 0$.) It is also not hard to calculate in terms of our

normal form that if s is any element of positive degree in the center of R , then the highest-degree component of s must have the form $c(\alpha\beta)^n + c(\beta\alpha)^n$ ($c \in C$), which is the leading term of ct^n . We deduce that the center of R is the polynomial ring $C[t]$, as in the preceding example. Easy calculations show that R is free as a $C[t]$ -module on $\{1, \alpha, \beta, \alpha\bar{\beta}\}$. In particular, it is free on $\{1, \alpha\}$ as a right module over the commutative subring $C[\beta, t]$, and this yields a representation by 2×2 matrices over this ring:

$$(3) \quad \alpha \mapsto \begin{pmatrix} 0 & -a' \\ 1 & a \end{pmatrix}, \quad \beta \mapsto \begin{pmatrix} \beta & t - a\bar{\beta} \\ 0 & \bar{\beta} \end{pmatrix}, \quad \text{and} \left(t \mapsto \begin{pmatrix} t & 0 \\ 0 & t \end{pmatrix} \right).$$

(Cf. [21, §6].)

The trace and norm of this representation carry R into $C[t]$, and are equal to $A \mapsto A + \bar{A}$ and $A \mapsto A\bar{A}$, where “ $\bar{}$ ” is the involution of R induced by the involutions “ $\bar{}$ ” of $C[\alpha]$ and $C[\beta]$.

(Except in the case of two quadratic extensions, the center of a coproduct ring generally lies in the center of the base-ring, R_0 . *Exercise.* When R_0 is a field, prove that a nontrivial coproduct of R_0 -algebras has center precisely R_0 , except in the two-quadratics case.)

12.3. *Idempotents and nilpotents (split quadratic extensions).* For the study of idempotent and nilpotent elements in an arbitrary C -algebra, it could be useful to know the structures of coproducts of copies of the algebras $C[\iota](\iota^2 = \iota) \cong C \times C$, and $C[\nu](\nu^2 = 0)$. Let $C[\alpha]$ and $C[\beta]$ be any pair of these algebras. The results of the above subsection apply to their coproduct R ; in particular, we get the matrix representation (3) over $C[\beta, t]$. But here note that there exists an augmentation $C[\beta] \rightarrow C$ (taking β to 0). Hence from the representation (3) we get a representation by 2×2 matrices over $C[t]$. Surprisingly, this turns out to be faithful in these cases. We can furthermore easily write down the resulting subalgebra of $m_2(C[t])$. The results are listed below. (We have made one modification: when $\alpha = \iota$, we have applied a similarity transformation to bring the representing matrix to the form $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ rather than $\begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}$.) To verify that each of the representations below is faithful, simply note that the algebra of matrices involved is free of rank 4 as a $C[t]$ -module!

Throughout, E, F, G and H will denote elements of $C[t]$.

(i) *Two idempotents, $\alpha = \iota, \beta = \iota'$.* (This calculation was suggested and carried out with the author by Alan G. Waterman, and in turn suggested the other cases.)

$$R = \left\{ \begin{pmatrix} E & tF \\ (1-t)G & H \end{pmatrix} \right\}, \quad \iota = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad \iota' = \begin{pmatrix} 1-t & t \\ 1-t & t \end{pmatrix}.$$

(ii) *An idempotent ι and a nilpotent ν .* Here, depending on which we choose to be " α ", we get a representation putting ι or ν in simpler form:

$$R = \left\{ \begin{pmatrix} E & tF \\ tG & H \end{pmatrix} \right\}, \quad \iota = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, \quad \nu = \begin{pmatrix} -t & t \\ -t & t \end{pmatrix},$$

or

$$R = \left\{ \begin{pmatrix} E & tE - tG + t^2F \\ G & H \end{pmatrix} \right\}, \quad \iota = \begin{pmatrix} 1 & t \\ 0 & 0 \end{pmatrix}, \quad \nu = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

(iii) *Two nilpotents.*

$$R = \left\{ \begin{pmatrix} E & tF \\ G & E + tH \end{pmatrix} \right\}, \quad \nu = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad \nu' = \begin{pmatrix} 0 & t \\ 0 & 0 \end{pmatrix}.$$

Finally, an example with noncentral R_0 :

EXAMPLE 12.4. *The coproduct over the complex numbers of two copies of the quaternions.* By Corollary 2.12 this ring will be a fir, and in fact by [10, §7, Lemma 2], it is a principal right and left ideal domain.

R will be generated over the complexes, \mathbf{C} , by two elements j and j' , each commuting with the real numbers, \mathbf{R} , anticommute with i , and having square -1 . Let us take j and $x = jj'$ for new generators of R over \mathbf{C} . The latter element will commute with i , since j and j' both anticommute with it; the defining relation $j'^2 = -1$ takes the form $jx = x^{-1}j$.

Now the automorphism induced by conjugation by j on the commutative subring $\mathbf{C}[x, x^{-1}]$ has the same form as complex conjugacy on the function ring $\mathbf{C}[e^{i\theta}, e^{-i\theta}]$ for θ a real variable; so let us formally rename x as $e^{i\theta}$. But we also know that $\mathbf{C}[e^{i\theta}, e^{-i\theta}]$ is generated over \mathbf{C} by the *real* functions $\cos \theta = (e^{i\theta} + e^{-i\theta})/2$ and $\sin \theta = (e^{i\theta} - e^{-i\theta})/2i$. So:

$$R \cong \mathbf{C}[e^{i\theta}, e^{-i\theta}][j] = \mathbf{R}[\sin \theta, \cos \theta][i, j] \cong \mathbf{R}[\sin \theta, \cos \theta] \otimes \mathbf{R}(i, j),$$

i.e., our ring R is the tensor product over the reals of the trigonometric function ring, and the quaternions. Note that the center of R , $\mathbf{R}[\sin \theta, \cos \theta]$, unlike R itself, is not a principal ideal domain. But it is a Dedekind domain (hereditary commutative integral domain). In fact, Robson and Small have recently shown that the center of a right hereditary prime ring with polynomial identity is always a Dedekind domain [20].

13. **The global dimension formula, and related questions.** In the main results of this paper, we took for our base ring R_0 any ring of global dimension zero (= finite direct product of matrix rings over skew fields). Example 12.1 showed that the formula for the global dimension of a coproduct (Corollary 2.5)

fails for $R_0 = \mathbf{Z}$, a ring of global dimension 1. The way in which it failed suggests that a generalization of the formula might be:

$$(4) \quad r \text{ gl dim } R \leq \sup(1 + r \text{ gl dim } R_0; r \text{ gl dim } R_\lambda \ (\lambda \in \Lambda)).$$

ADDED IN PROOF (June 12, 1974). I have just learned that this and related results for *group rings* have been known to topologists for several years ([30, §2] and [31, p. 176], cf. [27], [28, Propositions 7, 8]) and that they have been aware that the same methods are applicable to more general ring extensions $R_0 \subseteq R_\lambda$ ($\lambda \in \Lambda$) as long as all R_λ have good left and right R_0 -module structures—e.g., R_λ/R_0 flat on one side and projective on the other [6]. So it seems that the “open question” answered by Corollary 2.5 was “open” only among ring-theorists!

ADDED IN PROOF (September 1, 1974). Recently, Warren Dicks (Bedford College, London) has obtained by simple methods some beautiful homological results on colimits of trees of rings, which in particular yield (4) and the corresponding inequality for weak global dimension under only the assumption that R be left-flat over every R_μ [26, Corollary 7]! (END OF ADDED MATERIAL.)

On the other hand, Cohn [14, Introduction] suggests that results as good as those holding over sfields (e.g., analogs of all results in §2 above?) may hold for coproducts over general rings R_0 if all R_λ satisfy some kind of generalized “inertia” conditions over R_0 . (These would be conditions saying that if an element or matrix A over R_0 factors over R_λ , this factorization reduces in some way to a factorization of A over R_0 . Thus, the equation $2 = (1 + i)(1 - i)$ is an example of the *noninertness* of \mathbf{Z} in $\mathbf{Z}[i]$.)

It would also be interesting to see whether coproducts over a ring R_0 with *weak* global dimension 0, i.e., a von Neumann regular ring, can be proved to have particularly good properties.

14. **Coproducts of nonfaithful R_0 -rings.** In §§2–10, we assumed that all R_λ were faithful R_0 -rings. To examine the nonfaithful case, consider a ring R_0 and a family of R_0 -rings (R_λ) . Call a 2-sided ideal $I \subseteq R_0$ λ -stable, for a given $\lambda \in \Lambda$, if it is the inverse image of some 2-sided ideal of R_λ , that is, if $R_\lambda/R_\lambda I R_\lambda$ is a faithful R_0/I -ring. If R_λ is not itself a faithful R_0 -ring, $\{0\} \subseteq R_0$ will not be λ -stable. However since the class of λ -stable ideals is closed under intersections, there will exist a unique minimal ideal $I \subseteq R_0$ which is λ -stable for all $\lambda \in \Lambda$. This ideal I must go to zero in the coproduct R of the R_0 -rings R_λ ; hence R can be considered the coproduct over $R'_0 = R_0/I$ of the rings $R'_\lambda = R_\lambda/R_\lambda I R_\lambda$, and these are all faithful R'_0 -rings.

Thus, the study of coproducts of arbitrary rings over a given ring R_0 can be reduced to a combination of the study of coproducts of faithful ring-extensions,

such as we have pursued here, and the study of the quotients R'_λ of an R_0 -ring R_λ by a "basic" ideal, i.e., one of the form $R_\lambda IR_\lambda$ ($I \subseteq R_0$). Note that the quotient ring $R'_\lambda = R_\lambda / R_\lambda IR_\lambda$ can be described as the coproduct over R_0 of the two rings R_λ and R_0/I .

What can be said about such quotients $R' = R/RIR$ ($I \subseteq R_0$) in the case where R_0 is a ring of global dimension 0? (We henceforth suppress the " λ ".) By analogy with the results of §2, we would hope that "good" properties of R would be retained, e.g., that $\text{r gl dim } R' \leq \text{r gl dim } R$. In [3, §11] we shall see that, using Morita equivalence, the question becomes essentially equivalent to that of what good properties of a ring R are preserved when we divide out by the trace ideal of any finitely generated projective module. It appears that this construction is not as benign as that of coproducts of faithful R_0 -rings. E.g., the analog of Corollary 2.6 is false. But our knowledge of the behavior of this construction is quite fragmentary.

For reasons also explained in [3, §10], if we had results about the coproduct over a triangular matrix ring, $R_0 = \begin{pmatrix} K & \\ 0 & K \end{pmatrix}$ (K a sfield), of a faithful R_0 -ring R with the full matrix ring $m_2(K)$, this would give us information about the construction of adjoining to a ring the inverse of an arbitrary nonzero map between finitely generated projective modules, and in particular, the process of adjoining the inverse of an element.

15. A reduction to category theory? The techniques of §§1–10 involved us in the study of modules over our coproduct ring R , but hardly ever required us to look at the ring R itself.

Now from the point of view of the theory of algebraic structures, if R is a coproduct as in §1, then the *theory* of R -modules, in the sense of [18], will be the coproduct over the theory of R_0 -modules of the theories of R_λ -modules ($\lambda \in \Lambda$). (Or their product, depending on which way one considers morphisms of algebraic theories to run.) Suppose, generally, that we are given a family of algebraic theories T_λ over a common theory T_0 , and we form their coproduct T . Let Set^{T_0} etc. denote the categories of models of these theories. Can one directly construct the category Set^T from the categories Set^{T_μ} and the given functors connecting them? If so, and if this category-theoretic construction has a reasonable form, one might try to obtain the present results from more general results about this construction applied to appropriate sorts of additive categories.

(Cf. [24], where for any family (T_λ) of theories, it is shown how to construct the category $\text{Set}^{\Sigma T_\lambda}$ from the categories Set^{T_λ} . Here Σ denotes the "symmetric product" operation on theories. When T_λ is the theory of R_λ -modules for each $\lambda \in \Lambda$, ΣT_λ is the theory of $\otimes_{\mathbb{Z}} R_\lambda$ -modules.)

In any case, it might be of interest to study category-theoretic analogs of the situation of this paper. E.g., given small abelian categories C_0 , (C_λ) , and faithful right exact functors $\phi_\lambda: C_0 \rightarrow C_\lambda$, will this family have a pushout C in the category of small abelian categories and right exact functors? If so, what can be said of the homological properties of this pushout C ?

In [3, §12] a different sort of category-theoretic generalization is suggested. In particular, it is clear from the discussion there that there is essentially no difference between constructions with rings and constructions with their additive categories of finitely generated projective modules.

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